



2023 Zero Energy for the Ocean State (ZEOS) Project Request for Proposals Construction Contractor / Development Team

I. INTRODUCTION

Through this Request for Proposals (“RFP”), the Rhode Island Housing and Mortgage Finance Corporation (“RIHousing”), the Rhode Island Office of Energy Resources (“OER”) and Rhode Island Energy, (“RI Energy”) (collectively, the “Program Partners”) seek proposals from qualified teams (“Project Teams” or “Project Team Members”) to design and construct affordable¹ energy efficient Zero Energy Building (“ZEB”) housing unit(s) to serve low- and moderate-income² (“LMI”) residents in Rhode Island. The Program Partners are offering a total grant of \$500,000 for the development of these units. The housing units must employ solar PV and air-source heat pump (“ASHP”) technologies to achieve ZEB status. The units may be new construction and/or renovation projects as detailed in the Project Requirements and outlined Scope of Work starting on page 5.

A ZEB is defined as an energy-efficient building, where, on a source energy basis, the actual annual delivered energy is less than or equal to the annual on-site renewable exported energy (based on the US Department of Energy’s ZEB definition³).

The Zero Energy for the Ocean State (“ZEOS”) program is the result of a collaborative approach by the Program Partners to expand ZEB housing to low- and moderate-income sectors. The Program Partners seek demonstration projects that encompass four primary elements – design, explore, inform, and assess – meant to create a pathway for scaling ZEBs in low- and moderate-income residential sectors as a means of reducing greenhouse gas emissions. The program is in response to the recommendations included in the Rhode Island Zero Energy Task Force’s Zero Energy Building Pathway to 2035 white paper⁴. The white paper established a goal to create ZEB demonstration projects across building sectors. Grant funds are to be used for offsetting equipment costs, including mini splits, PV arrays, as well as other energy efficiency measures, and are not to be used for gap financing. ZEOS assisted projects must be completed within three years of commitment date or funds will be recaptured at the discretion of the Program Partners.

¹ In general, housing for which the occupant(s) is/are paying no more than 30 percent of his or her income for gross housing costs, including utilities.

² Low- and Moderate-Income Family: families whose [combined] income does not exceed 60 to 120 percent of the median family income for the area.

³

https://energy.gov/sites/prod/files/2015/09/f26/bto_common_definition_zero_energy_buildings_093015.pdf

⁴ https://www.nationalgridus.com/media/pronet/ri-ee-task-force/cm6459-ri-zne-white-paper-12_16.pdf



PROJECT REQUIREMENTS

The ZEOS grant award will be limited to \$17,000 per unit for 1-4 family developments and \$7,000 per unit for multifamily developments. The total award cannot exceed \$250,000 for any individual project. Buildings must qualify as ZEB (according to US Department of Energy's ZEB definition) and participate in RI Energy's Residential New Construction Program.

Projects may include:

- 1-4 family new construction, or townhouses
- 1-4 family significant rehab, or townhouses
- Multi-family new construction
- Multi-family significant rehab

PROGRAM INTENT

The intent of the program is to:

1. Provide a grant to contribute to the design of one or more ZEBs that are aesthetically attractive, affordable, replicable, and meet RIHousing Design Standards.
2. Stimulate innovative, replicable solutions to utilize cost saving clean energy and encourages demand response technologies in homes available to low- and moderate-income customers through the efficient use of multi-agency funding.
3. Demonstrate the potential for solar PV systems in combination with ASHP, energy efficiency measures, and smart technologies to reduce the energy burden of low- and moderate-income customers.
4. Deliver the highest, long-term utility bill benefits for the households living in the units.
5. Collect data to inform the design and administration of future programs benefiting low- and moderate-income Rhode Island Residents.
6. Collect data to inform RIHousing, the Office of Energy Resources and RI Energy on program design that will contribute to the mobilization of the ZEB market, and other goals as stated in the Zero Energy Task Force white paper.

This ZEOS project aims to:

- Design and establish a replicable ZEB model for low- and moderate-income customers that can be brought to scale across the State;
- Explore options for ZEB feasibility and impact in the residential sector;
- Inform the Program Partners and stakeholders on new and available resources, benchmarking, project successes and details, and lessons learned;
- Assess and evaluate aspects of the ZEOS project to identify areas for calibration and modification.



- Identify options for customers to meet the zero-energy goal of their home.

The applicant will be expected to work closely with the Program Partners throughout the project design, construction, performance verification, inspections, interconnection and data collection processes.

II. ELIGIBLE APPLICANTS

Previously funded ZEOS projects are not eligible for this grant. Previously funded teams may participate. Applicants must have sufficient technical capacity in the design and oversight of high energy performing building contracting.

Applicants may be:

1. An individual company, organization, or institution
2. Project Team consisting of a lead organization with additional organizations to complement the lead.
3. Applicants may include, but are not limited to:
 - non-profit organizations
 - for profit organization
 - community-based organizations
 - public assistance programs
 - private consultants
 - contractors

Applicants and their teams must include the following certificates and registrations:

<u>Qualification Information</u>	
<u>Registered in Rhode Island</u>	All Applicants/Installers or Project Team members must be registered to do business in RI. http://www.sos.ri.gov/divisions/business-portal
<u>State of Rhode Island Contractors' Registration and Licensing Board</u>	Rhode Island law requires anyone who is in the business of commercial construction, home construction, alterations, remodeling, or repair to residential home be registered with the State of Rhode Island Contractors' Registration and Licensing Board. https://crb.ri.gov/ . Please provide a scanned copy of the registration card.



<p><u>Solar Master Electrician License:</u></p>	<p>The Solar Electrical Contractor's License already includes the work allowed by the Renewable Energy Professional (REP)⁵ limited license. Only contractors or individuals without an “A” Electrical License are required to obtain the REP limited license to perform ancillary non-electrical work on renewable energy systems. Please provide this information in the application.</p>
<p><u>HVAC Contractor Training</u></p>	<p>To be eligible for this program, the HVAC contractor must have been HVAC Check trained in proper airflow and charge protocols through RI Energy’s Rhode Island Heating and Cooling program www.rienergy.com/RI-Home/Energy-Saving-Programs/Heat-Pump-Incentives www.rienergy.com/media/ri-energy/pdfs/energy-efficiency/rhode-island-electric-contractors.pdf</p>
<p><u>Special Licenses:</u></p>	<p>Contractors must possess all the applicable licenses and certifications required under RI law and regulations to install the technologies requested.</p>

All subcontractors hired and used for any activities related to the project must be disclosed in writing to the Program Partners if they are not identified in the response to this RFP. All solar subcontractor licenses, registrations and insurance requirements must be provided to the Program Partners at the time of hire. The Program Partners must approve the use of any subcontractors in writing prior to the Project Team using funds to pay for expenses associated with subcontractor costs. The Program Partners reserve the right to verify the licenses, registrations, and insurance requirements of all subcontractors to ensure compliance with Rhode Island State Law and the requirements of this Program.

Individual homeowners are not eligible to receive direct funding under this grant.

III. INSTRUCTIONS

RFP REQUIREMENTS:

A summary checklist of requirements is included on pages 10 through 16.

Contact Nivea Linhares at nlinhares@rihousing.com for your organization specific access code and instructions.

⁵ For more information on the Renewable Energy Professional certificate visit - <https://energy.ri.gov/renewable-energy/solar/renewable-energy-professional-rep>

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RFP TIMELINE

RFP Issued: March 15, 2023

Proposals (electronic) must be received no later than 5:00 PM EST on May 1, 2023.

Questions can be submitted via email to ZEOSprogram@rihousing.com and Q&A will be publicly posted on the ZEOS RFP page on <https://www.rihousing.com/rfps-rfqs/> and updated weekly.

Respondents are advised that all submissions (including those not selected for engagement) may be made available to the public on request upon completion of the process and award of a contract(s).

SCOPE OF WORK

RIHousing, the Rhode Island Office of Energy Resources and RI Energy (collectively the “Program Partners”) seek to engage building contractors and/or project teams (the “Project Teams” or “Project Team Members”) to participate in a demonstration project that designs and constructs either new construction or significant rehabilitation of existing units to serve at or below 60% - 120% AMI as defined by HUD. The design and construction must meet the requirements of a certified Department of Energy (“DOE”) Zero Energy Building (“ZEB”) and must participate in RI Energy’s Residential New Construction Program (links for more information on these standards are provided on page 22 (Additional Resources). Designs that also utilize demand response technologies such as battery storage, smart thermostats, or other smart appliances and participate in RI Energy’s demand response programs to optimize energy time of use, are strongly encouraged.

The Program Partners seek to increase access to cost-savings, and clean energy technologies for Rhode Island’s low and moderate-income residents. We are seeking Project Teams that can deliver significant energy and cost savings for each dollar of public investment in creative and effective ways.

Through this Request for Proposals (“RFP”), the Program Partners will competitively select and fund projects that propose to design, construct, and verify programmatic requirements that include solar PV systems, air-source heat pumps (“ASHP”), data acquisition systems (“DAS”) or revenue grade meter, and innovative energy efficiency building practices to achieve net zero buildings as defined by DOE. The integration of renewable and energy efficient technology will provide a direct economic benefit to low- and moderate-income households. Additional consideration will also be given for projects that will deploy demand response capable technologies.

The Project Team Members must demonstrate their plan for monitoring construction quality, adherence to specifications and energy program compliance verification. Additionally, the Project Team Members shall work with RI Energy and Program Partners on various data



capture equipment requirements and consumption feedback system requirements. Post-construction data collection should include benchmarking, measurement of energy consumption, energy performance and load disaggregation/energy management systems. Occupants/Owners of the ZEB will be required to participate in energy use education and monitoring classes conducted by the developer.

The Project Team is urged to leverage other funds for development; however, the housing units must be made affordable to the potential tenants/buyer. Developments demonstration of readiness to proceed (provide information on Construction Requirements Checklist) and getting incentives back to the tenants/owners is an important part of this RFP.

1. TASKS TO BE COMPLETED

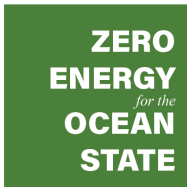
The Scope of Work for awards under this program will include the following tasks:

Task 1: Project Design

- a) Independently design, manage, construct and deliver the proposed project.
- b) Identify homeowners or tenants and their eligibility under HUD's 120% AMI guidelines.
- c) Provide a detailed project approach which outlines all grant-related activities and associated milestones and deliverables.
- d) Include a solar-siting analysis and shade analysis for the proposed project, as well as a return-on-investment analysis. If the project includes a new construction project, show that the building(s) has/have been orientated to achieve the appropriate solar generation.
- e) Participate in periodic meetings and conference calls to review project status and identify appropriate project adjustments.

Task 2: Project Execution

- a) Construct buildings and install eligible technologies. Awardees will be responsible for the design, construction and installation of efficient building technologies, techniques and products, solar PV systems, ASHP, and energy monitoring equipment. If included in the overall project plan, demand response capable products are encouraged. These systems shall be installed in a turn-key manner, with the Project Team leader overseeing all installation activities. All equipment shall be installed in a manner consistent with the minimum technical requirements found on page 20. It is expected that a higher level of installation monitoring be conducted as installation of systems are critical to achieving maximum energy efficiency.
- b) Participate in RI Energy's Residential New Construction Program at onset of project.
- c) Include additional, on-site construction supervision for the installation of energy efficient measures in the program design and construction costs.



- d) Provide ongoing system maintenance services. Awardees will provide a minimum of a one-year construction warranty including operating, maintenance and monitoring services for installed systems. The Awardees must also obtain consent from the occupant(s) of the housing to maintain the data systems, provide for benchmarking, and continue sharing data with the Project Partners for at least 5 years after construction. Air source heat pumps to have minimum 7 year warranty, battery storage (if applicable) to have 3 year warranty, and EV charging (if applicable) to have 5 year warranty. Commercial VRFs are required to have a minimum 5 year warranty and are encouraged to have minimum 10 year warranty with diamond installer. Solar PV installations must have a minimum of a three-year workmanship warranty. Additionally, all PV inverter(s) installed as part of the program shall have extended warranties that meet or exceed the expected life of the PV installation. If an extended warranty is not available or if the equipment is not warrantied for the entire expected life of the system (minimum 20 years), please detail the plan to replace the inverter(s) making sure to include the replacement cost in the proposed fee structure.

TASK 3: Certifications & Data Collection

- a) Obtain certifications that the building(s) meet the DOE Zero Energy Home standards and at minimum Tier 2 (26% savings) as a building for multifamily, and single family as a unit. Tier 3 (40% savings) and PHUIS certification is encouraged. Conduct testing that verifies the building is performing as designed and to the expected energy use goals.
- b) Project Team will work with RI Energy and Project Partners to conduct testing that verifies the building is performing as designed to meet expected energy use goals. Project Team will make adjustments to building systems to address any inefficiency as needed in order to maximize building performance.
- c) Collect and report project performance data and report Key Performance Indicators. Awardees will report Key Performance Indicators including those listed in the chart below. Awardees will produce the project deliverables including an Operations & Maintenance Manual for the building(s) owner and tenants, as shown in the table below. Benchmarking for five years minimum will also be a requirement.

2. SCHEDULE, DELIVERABLES AND REPORTING

The Construction Requirements PDF asks for additional information on schedule and milestones. The Program Partners anticipate that projects awarded under this RFP will be conducted and deliverables provided according to the following table and list.

The Program Partners anticipate the Scope of Work contained in any Agreement between the Program Partners and the selected Project Team will follow the format as detailed here (in Scope of Work) and report estimated construction and program costs as shown in Development Proforma (sources and uses tabs at a minimum) and



Contractor’s Cost Breakdown Excel files. Awardees will be required to provide quarterly updates on project performance as requested by Project Partners. Reporting will include, at minimum, the following performance indicators.

KEY PERFORMANCE INDICATORS	
INDICATOR	UNITS
MWh avoided, current period and lifetime	MWh
Oil Avoided (MMBtu), current period and lifetime	MMBtu
Energy Bill Savings, current period and lifetime	\$
Demand Response Revenue Earned, current period and lifetime	\$
CO2 emissions avoided (metric Tons of CO2), current period and lifetime	Tons (metric)
Expected Energy Savings for Building Residents	\$
Expected Annual Demand Response Revenue (if included)	\$
Funds Leveraged	\$
Construction Costs (above code)	\$
Operation & Maintenance Cost Estimates	\$
Solar PV System Size Installed	kW
Summer Peak Demand Reduction Expected (if included)	kW
Number of Units Constructed/Renovated	#
Number of Customers Served	#

3. KEY DELIVERABLES

- Submit project design and timeline for approval by Project Partners
- Submit completed Solar Site Analysis to Project Partners and Return on Investment Analysis
- Include a data acquisition system (“DAS”) to be installed with the PV system. Specifications sheet(s) for the proposed DAS **must** be provided to and approved by the Project Partners. A list of DAS providers can be found on Additional Resources page 22. If wireless Internet will be a barrier to the DAS requirement at the proposed project location, solutions must be proposed and approved before DAS installation. The contract with the solar developer must explicitly identify the party responsible for the continuous functioning of the DAS system. Please make sure the solar contractor is aware of this requirement.
- Identification of income-qualified occupants with verification of eligibility
- Final project proforma (sources and uses tabs at a minimum) with construction cost estimates.

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- Final Construction Design & Costs Approved by Program Partners
- Provide specification sheets for all major systems including ASHPs and solar PV components, equipment for approval by the Program Partners, showing equipment meets ZEOS warranty requirements. Solar equipment will include racking, modules, inverters, data acquisition system, energy storage (if installing), and other proposed equipment.
- Deliver constructed building(s) in Rhode Island that at minimum include the following:
 - A minimum of Tier 2 (26% savings) Certification for the building (if multifamily) from RI Energy. Tier 3 (40% savings) is encouraged.
 - Certification that the building meets DOE's Zero Net Energy Guidelines (third party verification required)
 - Installed Solar PV system
 - Installed ASHP
- Participate in ongoing installation monitoring and job progress reporting and/or meetings and payment requisition meetings.
- Provide equipment and workmanship warranties for all major systems and the overall project, as appropriate.
- Conduct systems testing and performance monitoring measured, verified and certified at various construction benchmarks and supplied to Program Partners.
- Make available all design and specifications to be published publicly for the development of additional ZEB low- and moderate-income housing units.
- Make available a public construction best practice document for the development of ZEB projects.
- Provide an operation and training manual for residents and make it publicly available to advance the operations knowledge of ZEB building owners.
- Written confirmation from the building owner (and subsequent owners) granting the Program Partners permission to freely access data usage including demand response program participation, if applicable.
- Provide site access for tours and educational activities in coordination with the Project Partners

ANTICIPATED TERM OF CONTRACT

It is expected that contracts will commence in Q3 2023. Final contracts are subject to successful negotiation of a final budgets and scope of services. The contract will be reviewed during its course and may be extended at the sole discretion of the Program Partners.

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Submission
Check List

CHECKLIST AND DESCRIPTION OF ITEMS TO BE INCLUDED WITH YOUR PROPOSAL

Please note that failure to provide any information, certification, or document requested in this RFP **may cause your submission not to be scored.**

A. Project Approach Narrative

Provide a detailed narrative of the development that reflects the goals of the ZEOS program. Include in the narrative, your solutions for conducting the tasks detailed in the Scope of Work, while delivering significant energy and cost savings for each dollar of public investment. The narrative shall be no longer than eight pages (not including construction plans & specifications). At a minimum narrative should include:

1. A detailed timeline including dates for key milestones and deliverables associated with the Scope of Work.
2. A methodology for evaluating and selecting participants that meet the 60%-120% AMI guidelines for the region as defined by HUD⁶.
3. Detail of expected project performance metrics. (Refer to Key Performance Indicators table and list of key deliverables on page 8) Applicants must provide a description of any qualitative benefits that will result from their proposed approach (i.e. better indoor air quality, greenhouse gas reductions, etc.).
4. A description of how the proposed project approach will address existing ZEB market barriers for low-income residents and other sectors.
5. Building Energy Modeling performed by CLEAResult or others, confirming projected energy savings percentage or Tier level is encouraged.
6. A description of how demand response technologies will or will not be installed in the project and if they will be installed, how occupants or building owners will receive demand response program participation revenues.
7. A description of the education activities and materials as well as site tours that will be created/conducted during the project to support the Goals & Objectives listed in the Evaluation and Selection section of this RFP.

B. Construction Plans, Specifications, & Building Info at a minimum to include;

1. Construction Plans to include:
 - Site Plan w/ location map showing:
 - Zoning requirements & North arrow
 - Setbacks

⁶ [FY-22-HUD-Income-Limits.pdf \(rihousing.com\)](https://rihousing.com/FY-22-HUD-Income-Limits.pdf)

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- Easements
 - Streets
 - Parking
 - Landscaping
 - Floor Plans
 - Elevations – Showing window types & finish materials.
 - Sections – Showing insulation & construction type
2. Outline Specification by Division
 3. Fact Sheet Listing:
 - Proposed Building Type
 - Lot Size
 - Gross & Net Building Area
 - Unit count, type, size, & distribution
 - % of lot covered by buildings, parking & other paved areas

C. *Readiness to proceed: Include Construction Requirements Checklist PDF attachment and proof with documentation.*

D. *General Organization Information (include information for all Project Team Members):*

1. Executive Summary:

- a. If there is more than one organization on the Project Team, identify the lead organization (the “Lead Member”) and all Project Team Members,
- b. Executive Summary of proposed approach (see detailed requirements below).

2. Contact Information:

- a. Name, telephone number and email address of the Lead Member organization authorized to discuss your proposal,
- b. Name of the principal(s) of each Project Team Member,
- c. Address of all offices of the Project Team Members.

4. An organizational chart that demonstrates reporting structures and roles and responsibilities for program administration, including key staff and any subcontracted firms. Highlight all relevant certifications and registrations held by any Project Team Member. Provide a written description, summarizing the overall project and methodology for achieving all required deliverables, tasks, and objectives outlined in the Scope of Work starting on page 5.

2.

E. *Experience and Resources*

1. Describe each Project Team organization and its capabilities and number of employees. In particular, provide a description of the Team’s capacity to perform the proposed Scope of Work. Provide a description of the organizational history and current status including services, projects, staff capacity, and evidence of a proven track record of success with energy efficiency, ASHP, integrated controls,

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PV installations, and working with low- and moderate-income programs and populations. Additionally, provide a description of demand response technologies if they are proposed. Include a description to the extent that the proposed project has the potential to mobilize the ZEB market by demonstrating the benefits of ZEB, establishing scalability, and making a case for ZEB as a financially worthwhile choice.

2. Provide a roster of principals and associates from the lead team member firm who would be involved in providing services to the proposed project. Provide appropriate background information for each such person and identify his or her responsibilities. Clearly demonstrate that the organization has the staff capacity and thorough understanding of the scope to quickly launch and deploy the project as proposed.
3. Include a current client roster, including a lead contact name and telephone number for each. [OR] Provide a detailed list of references, including a contact name and telephone number for organizations or businesses for who you have performed similar work.
4. Provide case studies including photos of past work completed related to this Scope of Work.
5. Identify any conflict of interest that may arise as a result of business activities or ventures by your firm and associates of your firm, employees, or subcontractors as a result of any individual's status as a member of the board of directors of any organization likely to interact with RIHousing, the Office of Energy Resources or RI Energy. **If none, please provide a statement to such effect.**
6. Describe how your Lead Member organization and all Project Team Member organizations will handle actual and or potential conflicts of interest.
7. Identify any material litigation, administrative proceedings or investigations in which your firm and all Project Team Member organizations are currently involved. Identify any material litigation, administrative proceedings or investigations, to which your firm or any of its principals, partners, associates, subcontractors or support staff was a party that has been settled within the past two (2) years. **If none, please provide a statement to such effect.**
8. Identify individuals in Lead Team with multi-lingual skills, who are available to assist with written and/or verbal communication in languages other than English. Please identify the language(s).

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F. Development Budget

Cost of services and the ability to leverage other funds are factors that will be considered in awarding this contract. Information requested in this section is required to support the reasonableness of the proposed budget. Costs incurred prior to the execution of an agreement with ZEOS will not be reimbursed.

1. Provide a cost proposal for completing the proposed development. Use Development Proforma (sources and uses tabs at a minimum) and Contractor's Cost Breakdown excel templates for reporting the project cost breakdown. At a minimum, the cost proposal should address:
 - a. Design and construction;
 - b. All overhead and profit costs clearly identified;
 - c. Additional technical expertise during the design phase;
 - d. Additional onsite expertise during construction;
 - e. Technology and equipment requirements such as solar panels, ASHPs, insulation, windows, and air sealing;
 - f. Cost benefit analysis of level of energy efficiency and solar capacity to meet energy load;
 - g. Creation of replicable plans and specifications;
 - h. Creation of Operations and Maintenance manuals for all the major equipment used in the project;
 - i. Leveraged sources and dollars; and
 - j. Ongoing maintenance costs including inverter replacements or extended warranties.
2. Provide an itemized breakdown of billing rates and hourly costs, list of key personnel and their hourly rates, reimbursable expenses, etc. for any services that may be requested in addition to the services previously described.
3. Provide any other fee information applicable to the engagement that has not been previously covered that you wish to bring to the attention of RIHousing, the Office of Energy Resources and RI Energy.

G. Self-Scoring

1. Use self-scoring sheet and include with your project submission.

H. Minority Participation

1. RIHousing and its Program Partners encourage the participation of persons of color, women, persons with disabilities and members of other federally and State-protected classes. Describe your firm's affirmative action program and activities. Include the number and percentage of members of federally and State-protected classes who are either principals or senior managers in your firm, the number and

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percentage of members of federally and State-protected classes in your firm who will work on RIHousing’s engagement and, if applicable, a copy of your Minority- or Women-Owned Business Enterprise state certification.

I. *Certifications*

1. RIHousing and its Program Partners insist upon full compliance with Chapter 27 of Title 17 of the Rhode Island General Laws, Reporting of Political Contributions by State Vendors. This law requires State Vendors entering into contracts to provide services to an agency such as RIHousing, for the aggregate sum of \$5,000 or more, to file an affidavit with the State Board of Elections concerning reportable political contributions. The affidavit must state whether the State Vendor (and any related parties as defined in the law) has, within 24 months preceding the date of the contract, contributed an aggregate amount in excess of \$250 within a calendar year to any general officer, any candidate for general office, or any political party. Please acknowledge your understanding of this in your RFP response.
2. Does any Rhode Island “Major State Decision-maker,” as defined below, or the spouse or dependent child of such person, hold (i) a ten percent or greater equity interest, or (ii) a Five Thousand Dollar or greater cash interest in this business?

For purposes of this question, “Major State Decision-maker” means:

(i) All general officers; and all executive or administrative head or heads of any state executive agency enumerated in § 42-6-1 as well as the executive or administrative head or heads of state quasi-public corporations, whether appointed or serving as an employee. The phrase “executive or administrative head or heads” shall include anyone serving in the positions of director, executive director, deputy director, assistant director, executive counsel or chief of staff;

(ii) All members of the general assembly and the executive or administrative head or heads of a state legislative agency, whether appointed or serving as an employee. The phrase “executive or administrative head or heads” shall include anyone serving in the positions of director, executive director, deputy director, assistant director, executive counsel or chief of staff;

(iii) All members of the state judiciary and all state magistrates and the executive or administrative head or heads of a state judicial agency, whether appointed or serving as an employee. The phrase “executive or administrative head or heads” shall include anyone serving in the positions of director, executive director, deputy director, assistant director, executive counsel, chief of staff or state court administrator.

If your answer is “Yes,” please identify the Major State Decision-maker, specify the nature of their ownership interest, and provide a copy of the annual financial disclosure required to be

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filed with the Rhode Island Ethics Commission pursuant to R.I.G.L. §§36-14-16, 17 and 18. If your answer is “No,” please provide a statement to such effect.

3. In the course of providing goods or services to RIHousing, the selected respondent may receive certain personal information specific to RIHousing customer(s) including, without limitation, customer names and addresses, telephone numbers, email addresses, dates of birth, loan numbers, account numbers, social security numbers, driver’s license or identification card numbers, employment and income information, photographic likenesses, tax returns, or other personal or financial information (hereinafter collectively referred to as the “Personal Information”). The maintenance of the Personal Information in strict confidence and the confinement of its use to RIHousing are of vital importance to RIHousing. **Please include a letter from your president, chairman or CEO certifying that, in the event your firm is selected:**

(i) any Personal Information disclosed to your firm by RIHousing or which your firm acquires as a result of its services hereunder will be regarded by your firm as confidential, and shall not be copied or disclosed to any third party, unless RIHousing has given its prior written consent thereto; and

(ii) your firm agrees to take all reasonable measures to (a) ensure the security and confidentiality of the Personal Information, (b) protect against any anticipated threats or hazards to the security or integrity of the Personal Information, and (c) maintain reasonable security procedures and practices appropriate to your firm’s size, the nature of the Personal Information, and the purpose for which the Personal Information was collected in order to protect the Personal Information from unauthorized access, use, modification, destruction or disclosure; and

(iii) when discarding the Personal Information, destroying it in a commercially reasonable manner such that no third party can view or recreate the information, electronically or otherwise.

These provisions, which implement the requirements of the Rhode Island Identity Theft Protection Act, R.I.G.L. § 11-49.3 et seq., will also be incorporated into the final contract with the selected respondent(s). In addition, if selected, your firm may be requested to provide a copy of its information security plan.

4. Please include a letter from your president, chairman or CEO certifying that
- i. no member of your firm has made inquiries or contacts with respect to this Request for Proposals other than in an email or written communication to ZEOSProgram@RIHousing.com seeking clarification on the Scope of Work set forth in this proposal, from the date of this RFP through the date of your proposal;
 - ii. no member of your firm will make any such inquiry or contact until after May 15, 2023;

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- ii. all information in your proposal is true and correct to the best of her/his knowledge;
- iii. no member of your firm gave anything of monetary value or promise of future employment to a RIHousing employee or Commissioner, or a relative of the same, based on any understanding that such person's action or judgment will be influenced; and
- iv. your firm is in full compliance with Chapter 27 of Title 17 of the Rhode Island General Laws, Reporting of Political Contributions by State Vendors.

IV. EVALUATION AND SELECTION

ZEOS recommends that Applicants carefully follow instructions and prepare complete, clear and concise applications. It is the sole responsibility of the Applicant to ensure that its application is complete, meets the requirements of this RFP, and is properly submitted as described in the RFP Requirements. The Program Partners reserve the right to only consider applications that in their sole judgment, meet the submission requirements.

A selection committee consisting of RIHousing, the Office of Energy Resources and National Grid staff (the "Committee") will review all proposals and make a determination based on the following factors:

- 1) Project Team experience
 - a) Applicant's experience and demonstrated ability to deliver high quality work for relevant projects of similar complexity in the area(s) of the requested services.
 - b) Previous work experience and performance with RIHousing and/or similar organizations.
 - c) Recommendations by references
 - d) Level of experience in working with the LMI community and demonstrated experience developing LMI rental or homeownership units.
 - e) Degree to which the Project Team Members have worked collaboratively in the past.
 - f) Litigation & Firm History.
 - g) Firm minority status and affirmative action program or activities.
- 2) Budget (use Development Proforma (sources and uses tabs at a minimum) & Contractor's Cost Breakdown excel templates)
 - a) Provide the requested information in sufficient detail.
 - b) Provide the requested services at a reasonable cost.
 - c) Demonstrate a cost-efficient approach to each of the proposed tasks and services.
 - d) List all funding sources and incentives.
 - e) Include all identified potential expenses required to achieve successful management and completion of the project.
- 3) Overall Project Approach
 - a) A sound approach to program management and meeting all programs goals and objectives.
 - b) Ability to perform within time and budget constraints

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- c) Understanding the motivation underlying this RFP.
- d) Proposals should include utilization of existing energy efficiency, and renewable energy programs with associated incentives and demonstrate a cohesive plan for the delivery of energy efficiency. Proposals that include demand response and other clean energy generation technologies are encouraged.
- e) Degree to which the Project Team Members represent firms with businesses based in Rhode Island.
- f) Overall quality of the proposal.
- 4) Extent to which the proposal achieves the following Goals & Objectives:
 - a) Readiness to Proceed. See Construction Requirements Checklist pdf attachment.
 - b) Stimulate innovative, replicable solutions to deploying solar PV systems in combination with energy efficiency, and ASHPs that achieve the ZEB definition for low- and moderate-income residents.
 - c) Deliver the highest, long term costs savings for residents; Cost saving calculations should include both energy and non-energy impacts.
 - d) A user-friendly home that makes it easy for a customer to achieve zero energy consumption.
 - e) Demonstrate potential of solar PV systems in combination with ASHPs for reducing the energy burden of low-income customers.
 - f) Undertake activities and create deliverables that build upon lessons learned from implementing similar projects, especially ZEB projects.
 - g) All projects must serve families at or below 120% AMI as defined by HUD⁷
 - (i) Projects that serve clients at or below 60% AMI will be given preference.
 - h) Number of housing units created.
 - i) Replicability of project.
 - j) Ability to complete all required deliverables.
 - k) Rehab projects are encouraged.
 - l) Strategy for third-party verification that demonstrate units meet the Department of Energy (“DOE”) ZEB definition.
 - m) Project with achievable yet expedient timelines are preferred.
 - n) Walkability (walkscore.com) and green infrastructure will be considered for extra points.
- 5) Efficient use of funds, including the extent to which other funds are leveraged.
 - a) Proposals that leverage additional funds such as utility incentives and programs; state, federal, other incentives; and private capital are highly encouraged.
 - b) Overall affordability of project.
- 6) Up to 15 extra points will be awarded for innovative technologies such as RI Stretch Code⁸ requirements or the use of demand-response capable technologies, energy storage, EV charging infrastructure, and/or energy management systems/smart control technologies, or other energy sources besides solar and green infrastructure.

⁷ <https://www.rihousing.com/wp-content/uploads/FY-22-HUD-Income-Limits.pdf>

⁸ <http://www.energy.ri.gov/policies-programs/lead-by-example/rhode-island-stretch-codes.php>



Scoring Criteria	Possible Points
1. Project Team Experience	20
2. Project Approach & Goals	45
3. Budget & Use of Funds	40
4. Innovative Technologies (extra 15 pts)	15
<i>Selection of Finalists (top applicants may be asked for project presentation to Program Partners)</i>	
Total Possible Points	120

V. REQUIREMENTS FOR PROJECT EXECUTION AND COMPLETION

Additional requirements will apply to the execution and completion of funded projects and will be documented in an agreement (“Grant Agreement”). These requirements will include the following, as applicable:

1. Project Team must submit evidence that sufficient financing is in place to complete a project before RIHousing will disburse the first construction installation of a grant.
2. All construction work must be performed in compliance with local, state, and federal laws and codes.
3. Project Team must identify subcontractors not included as part of the identified Program Team in this RFP as detailed in Section II of this RFP.
4. All equipment funded in part or in whole by this grant must be new and of a design suitable for the proposed installation.
5. Major purchased equipment must carry a warranty of at least one year, or the project must have a combination warranty or service contract to cover this first year of operations. See page 7 for warranty requirements for ASHP, battery storage, EV chargers, VRFs, and solar warranties.
6. The applicant will be expected to work closely with the Program Partners throughout the project design, construction, performance verification, inspections, interconnection and data collection processes. In addition, operations manuals for the major equipment used in the project must be provided.
7. A Data Acquisition System (DAS) for the solar PV system and integrated controls/smart controls for the ASHPs must be installed and the data from these systems must be available to the Program Partners for a minimum of five years.
8. All utility benchmarking for a minimum for five years.
9. Project Team must outline educational practices and project manual describing process, replicability, lessons learned, and possible improvements.
10. Funding for this program is sourced from two entities: RIHousing and OER. OER funding may only support technologies that produce renewable energy or save energy through energy efficiency. OER funding cannot be combined with the Renewable Energy Growth program. The Grant Agreement will detail which technologies and costs will be supported by OER funding versus RIHousing funding.



VI. DISCLAIMERS

1. RIHousing and its Program Partners may, in their sole discretion, require applicants to submit additional detailed project specifics.
2. By issuing this Request for Proposals, RIHousing and its Program Partners have not committed to undertake the work set forth. RIHousing and its Program Partners reserve the right to reject any and all proposals, waive defects or irregularities in any response, to rebid the original or amended scope of services and to enter into negotiations with one or more respondents. RIHousing and its Program Partners reserve the right to make those decisions after receipt of responses. RIHousing's and its Program Partners' decision on these matters is final.
3. It is the responsibility of the Applicant to check the ZEOS RFP webpage for any addenda or modifications to this RFP. RIHousing and the Program Partners accept no liability and will provide no accommodation to Applicants who submit an application based on an out-of-date RFP or related document, including the information provided in the posted FAQ document.
 4. Grant funds are to be used for offsetting equipment cost, including ASHPs, PV arrays, etc., and are NOT to be used for gap financing.
 5. ZEOS-assisted projects must be completed within three years of contract date or funds will be recaptured at the discretion of the Program Partners.

In its sole discretion, the Program Partners may negotiate with one or more firms who have submitted qualifications to submit more detailed proposals on specific projects as they arise.

By this Request for Proposals, the Program Partners have not committed themselves to undertake the work set forth. The Program Partners reserve the right to reject any and all proposals, to rebid the original or amended scope of services and to enter into negotiations with one or more respondents. The Program Partners reserve the right to make those decisions after receipt of responses. The Program Partners decision on these matters is final.

For additional information contact: ZEOSProgram@RIHousing.com

Revised: March 2023



TECHNICAL STANDARDS

Standards to meet for Net Zero Construction:

The proposed project must meet the requirements of the Department of Energy's (DOE's) Zero Energy Ready Home Program. Requirements for this program can be found here:

[DOE Zero Energy Ready Home \(ZERH\) Program Requirements | Department of Energy and](#)

https://energy.gov/sites/prod/files/2017/04/f34/DOE%20Zero%20Energy%20Ready%20Home%20National%20Program%20Requirements%20Rev06%20-%20Final_0.pdf

In addition, the final project (including the PV solar installation) must meet DOE's definition of a Zero Energy Building. An independent, third party must verify that the project meets the DOE Zero Energy Building definition as defined here:

<https://energy.gov/eere/buildings/downloads/common-definition-zero-energy-buildings>

Standards to meet RI Energy \ Efficiency Certification:

The proposed project must meet the requirements of RI Energy's Residential New Construction Program and achieve a minimum of Tier 2 (26% savings). Tier 3 (40% savings) is encouraged.

Minimum Technical Requirements:

Solar PV and air-source heat pump ("ASHP") installations must demonstrate compliance with any Minimum Technical Requirements set forth in any incentive program for solar PV or ASHPs utilized in the proposed project. Program Partners reserve the right to inspect any system installed under this program and withhold funding for any project that does not satisfy the any relevant incentive program Minimum Technical Requirements.

Available Solar PV Incentives:

RI Energy's Renewable Energy Growth program is not available to be used with projects in the ZEOS program. OER is utilizing Regional Greenhouse Gas Initiative (RGGI) funding for a portion of the grant award. RGGI funding cannot be combined with the REG program for any project or program. The Renewable Energy Fund (REF) can be used for projects in the ZEOS program. If utilizing the REF, please make sure to review the REF Minimum Technical Requirements (see Additional Resources page 22 for link). Please note that RI Energy's SolarWise program is also not eligible to be used with the ZEOS program for the same reason stated above.

Solar Technical Requirements and Related Equipment:

All installations must use solar photovoltaic (PV) technology, which is defined as cells or solar photovoltaic arrays that directly convert energy from the sun into electricity. Building integrated installations, such as solar shingles, are eligible assuming all other requirements are met. Proposed PV equipment must be UL listed and it is

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recommended that the equipment be listed on the California Energy Commission's list of approved equipment.⁹

Eligible PV Project Costs:

Qualified solar electric property costs include those that use solar energy to generate electricity for the proposed Rhode Island residential project. The following costs associated with a PV project may not be paid through the OER portion of the grant. Please note that this list is not comprehensive:

- Tree trimming or other vegetation management
- Batteries or controllers associated with energy storage systems¹⁰
- Re-roofing costs

Minimum ASHP Technical Requirements:

Please refer to the Northeast Energy Partnership's listing of all models of ASHP systems¹¹. To help ensure that the equipment operates according to manufacturer's specifications the contractor must have been HVAC Check trained in proper airflow and charge protocols through RI Energy's Rhode Island Heating and Cooling program.

www.rienergy.com/media/ri-energy/pdfs/energy-efficiency/ri_electric_heating-cooling_form.pdf

www.rienergy.com/RI-Home/Energy-Saving-Programs/Heat-Pump-Incentives

www.rienergy.com/media/ri-energy/pdfs/energy-efficiency/rhode-island-electric-contractors.pdf

⁹ <https://www.energy.ca.gov/programs-and-topics/programs/solar-equipment-lists>

¹⁰ If storage is proposed with the solar PV system, applicants must explain why this provides value to a low- or moderate-income tenant or resident.

¹¹ <https://ashp.neep.org/#/>

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ADDITIONAL RESOURCES

Rhode Island Housing Guidelines

https://www.rihousing.com/wp-content/uploads/2022-Section-3-Guidelines-for-Development_FINAL.pdf

Rhode Island Zero Energy Building Task Force

https://www.nationalgridus.com/media/pronet/ri-ee-task-force/cm6459-ri-zne-white-paper-12_16.pdf

RI Energy New Construction Incentive Program

www.rienergy.com/RI-Home/Energy-Saving-Programs/New-Construction

RI Energy Demand Response Programs:

<https://www.rienergy.com/RI-Home/ConnectedSolutions/>

Renewable Energy Fund (REF)

<http://commerceri.com/finance-business/renewable-energy-fund/commercial-scale-projects/>

REF Minimum Technical Requirements

<https://commerceri.com/wp-content/uploads/2023/01/RI-REF-MTR-NEC-2020-Cadmus-1.24.23.pdf>

List of Data Acquisition Systems and related services

<http://files.masscec.com/Data%20Acquisition%20Service%20Providers%20%40%20Apri%202017.pdf>

Voluntary “Cold Climate Air Source Heat Pump Specification” - NEEP

<http://www.neep.org/sites/default/files/resources/Cold%20Climate%20Air%20Source%20Heat%20Pump%20Specification.pdf>

List of NEEP Referenced Resources on Heat Pumps

<https://neep.org/heating-electrification/ccashp-specification-product-list>

DOE Zero Energy Ready Home Program:

<https://www.energy.gov/eere/buildings/doe-zero-energy-ready-home-zerh-program-requirements>

https://energy.gov/sites/prod/files/2017/04/f34/DOE%20Zero%20Energy%20Ready%20Home%20National%20Program%20Requirements%20Rev06%20-%20Final_0.pdf

DOE Definition of a Zero Energy Buildings:

<https://energy.gov/eere/buildings/downloads/common-definition-zero-energy-buildings>

Rhode Island Stretch Codes:

<http://www.energy.ri.gov/policies-programs/lead-by-example/rhode-island-stretch-codes.php>