

## REQUEST FOR PROPOSALS

Window Cleaning

Posting Date: Wednesday, December 4, 2024

Response Submission Deadline: 3:00 EST p.m. on Thursday, January 2, 2025

Mandatory Walkthrough Date/Time: 10:00 EST a.m.; Friday, December 13, 2024

#### **NOTE TO RESPONDENTS:**

Please be advised that <u>all</u> proposals (including those not selected for engagement) may be made available to the public on request pursuant to the Rhode Island Access to Public Records Act, Chapter 2 of Title 38 of the Rhode Island General Laws (the "APRA") upon award of a contract(s). Respondents are advised not to include information that they deem proprietary or confidential or that constitutes a trade secret.

#### **INTRODUCTION**

Through this Request for Proposals ("RFP"), RIHousing seeks proposals from qualified window cleaning contractors to provide exterior and interior window services for RIHousing corporate office buildings located at 44 & 56-70 Washington St., Providence, RI 02903 (the "Buildings"). Exterior window cleaning will be performed two (2) times/year targeting Spring and Fall months and interior cleaning will be performed one (1) time /year during summer months. In addition, RIHousing requests pricing for "on call" street level and 2<sup>nd</sup> story exterior cleaning.

It is the intention of RIHousing to enter into a three (3) year contract with two (2) one-year options; therefore, pricing requested will be for the initial 3 years of the contract; see Attachment D.

#### **INSTRUCTIONS**

Proposals are to be submitted via email to: **Guy V. Pirolli, Facilities Operations Manager** at **gpirolli@rihousing.com** no later than the submission deadline set forth above.

Proposals must be submitted to RIHousing on business letterhead and must adhere to the word count applicable to each section of this RFP and include all attachments, certifications (located at Attachment  $\underline{A}$ ), and work samples (as applicable). Proposals that are not received by the response submission deadline or that do not adhere to the submission instructions described herein shall not be accepted or considered by RIHousing.

All questions regarding the Scope of Work set forth in this RFP must be made in writing and submitted via email to **Guy V. Pirolli, Facilities Operations Manager** at **gpirolli@rihousing.com** prior to the submission deadline.

Respondents are advised that RIHousing requires its vendors to comply with Chapter 27 of Title 17 of the Rhode Island General Laws, Reporting of Political Contributions by State Vendors.



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RIHousing may invite one or more finalists to make presentations, including demonstrations of requested products, if applicable.

Respondents are advised that <u>all</u> submissions (including those not selected for engagement) may be made available to the public on request upon completion of the process and award of a contract.

Accordingly, any information included in the proposal that the respondent believes to be proprietary or confidential should be clearly identified as such.

Updates, amendments and Q&As related to this Request for Proposals may be posted from time to time at: <u>RFPs & RFQs | RIHousing</u>.

### **SCOPE OF WORK**

Please see the Scope of Work as provided on <u>Attachment B</u>.

### ITEMS TO BE INCLUDED WITH YOUR PROPOSAL

Section A:	General Firm Information (Total word limit: 500 words)
	1. Provide a brief description of your firm, including but not limited to the following:
	<ul> <li>a. Name of the principal(s) of the firm.</li> <li>b. Name, business telephone number and business email address of a representative of the firm authorized to discuss your proposal.</li> <li>c. Locations of all offices of the firm.</li> <li>d. Number of employees of the firm.</li> </ul>
Section B:	Experience and Resources (Total word limit: 3500 words)
SUBMISSION CHECK LIST	<ol> <li>Describe your firm and its capabilities. In particular, support your capacity to perform the Scope of Work.</li> </ol>
	2. Indicate which principals and associates from your firm would be involved in providing services to RIHousing. Provide appropriate background information for each such person and identify their responsibilities.
	3. If applicable, please indicate the name of any subcontractors that would be involved in providing services to your firm and to RIHousing. Provide appropriate background information for each person or entity, identify the person's responsibilities and outline their capabilities.
	4. Provide a detailed list of references, including a contact name and business telephone number for organizations or businesses for whom you have performed similar work.
	5. Describe your firm's information security systems and the steps that your firm takes to safeguard client communication, confidential information, and client data.



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Section C:	Fee Structure (Total word limit: 500 words)
	The cost of services is one of the factors that will be considered in awarding this contract. The information requested in this section is required to support the reasonableness of your fees.
	1. Please provide a cost proposal for providing the Scope of Work in <u>Attachment D</u> .
	2. Provide an itemized breakdown of billing rates and hourly costs, list of key personnel and their hourly rates, reimbursable expenses, etc. for any services that may be requested in addition to the services previously described.
	3. Please provide any other fee information applicable to the engagement that has not been previously covered that you wish to bring to the attention of RIHousing.
Section D:	Affirmative Action Plan and Minority Owned Business/Women Owned Business
	1. RIHousing encourages the participation of persons of color, women, persons with disabilities and members of other federally and State-protected classes. Describe your firm's affirmative action program and activities. Include the number and percentage of members of federally and State-protected classes who are either principals or senior managers in your firm, the number and percentage of members of federally and State-protected classes in your firm who will work on RIHousing's engagement and, if applicable, a copy of your Minority- or Women-Owned Business Enterprise state certification.
Section E.	Miscellaneous (Total word limit: 1000 words)
	1. Discuss any topics not covered in this RFP that you would like to bring to RIHousing's attention.
Section F.	Certifications
	1. All respondents must complete <u>Attachment A</u> and return it to RIHousing with their proposal.



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### **EVALUATION AND SELECTION**

A selection committee consisting of RIHousing employees will review all proposals that meet the requirements set forth in the "Instructions" section of this RFP and make a selection based on the following factors:

- Professional capacity to undertake the Scope of Work (as evaluated by reference to Section B: Experience and Resources);
- Proposed fee structure (as evaluated by reference to Section C: Fee Structure);
- Ability to perform within time and budget constraints (as evaluated by reference to Section B);
- Firm minority status and affirmative action program or activities (as requested in Section D: Affirmative Action Plan and Minority Owned Business/Women Owned Business)
- Recommendations by references (as provided in Section B: Experience and Resources, subsection 3);
- Other pertinent information submitted.

By this RFP, RIHousing has not committed itself to undertake the work set forth herein. RIHousing reserves the right to reject any and all proposals, to rebid the original or amended scope of services and to enter into negotiations with one or more respondents. RIHousing reserves the right to make those decisions after its receipt of responses. RIHousing's decision on these matters is final.

For additional information contact: gpirolli@rihousing.com



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# Attachment A

# Requests for Proposals Submission Certifications

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Section A	١٠ ١	$C_{\Omega n}$	flicts	$\alpha f$	Interest
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additio	respond to <u>all</u> items below and include it in your response to this RFP. Be sure to include any nal information in the space provided or as an attachment as needed. Please ensure that any nents refer to the appropriate item by name (i.e., "Conflict of Interest," "Major State Decision etc.)  Total word limit for Sections A and B: 500 words
Sectio	n A: Conflicts of Interest
1.	Identify any conflict of interest that may arise as a result of business activities or ventures by your firm and associates of your firm, employees, or subcontractors as a result of any individual's status as a member of the board of directors of any organization likely to interact with RIHousing. <b>If none, check below</b> .
	□ None
2.	Describe how your firm will handle actual and or potential conflicts of interest (please include in your proposal or attach a sheet with this information).
Sectio	n B: Litigation, Proceedings, Investigations
1.	Identify any material litigation, administrative proceedings, or investigations in which your firm is currently involved. <b>If none, check below.</b>
	□ None
2.	Identify any material litigation, administrative proceedings, or investigations to which your firm or any of its principals, partners, associates, subcontractors, or support staff was a party, that has been finally adjudicated or settled within the past two (2) years. <b>If none, check below.</b>
	□ None
Sectio	n C: Certifications
1.	RIHousing insists upon full compliance with Chapter 27 of Title 17 of the Rhode Island General Laws, Reporting of Political Contributions by State Vendors. This law requires State Vendors entering into contracts to provide services to an agency such as RIHousing, for the aggregate sum of \$5,000 or more, to file an affidavit with the State Board of Elections concerning reportable political contributions. The affidavit must state whether the State Vendor (and any related parties as defined in the law) has, within 24 months preceding the date of the contract, contributed an aggregate amount in excess of \$250 within a calendar year to any general officer, any candidate for general office, or any political party. <b>Please acknowledge your understanding below.</b>
	☐ I have read and understand the requirements of Chapter 27 of Title 17 of the Rhode Island General Laws, Reporting of Political Contributions by State Vendors.



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2. Does any Rhode Island "Major State Decision-maker," as defined below, or the spouse or dependent child of such person, hold (i) a ten percent or greater equity interest, or (ii) a Five Thousand Dollar or greater cash interest in this business?

For purposes of this question, "Major State Decision-maker" means:

- (i) All general officers; and all executive or administrative head or heads of any state executive agency enumerated in § 42-6-1 as well as the executive or administrative head or heads of state quasi-public corporations, whether appointed or serving as an employee. The phrase "executive or administrative head or heads" shall include anyone serving in the positions of director, executive director, deputy director, assistant director, executive counsel, or chief of staff;
- (ii) All members of the general assembly and the executive or administrative head or heads of a state legislative agency, whether appointed or serving as an employee. The phrase "executive or administrative head or heads" shall include anyone serving in the positions of director, executive director, deputy director, assistant director, executive counsel, or chief of staff;
- (iii) All members of the state judiciary and all state magistrates and the executive or administrative head or heads of a state judicial agency, whether appointed or serving as an employee. The phrase "executive or administrative head or heads" shall include anyone serving in the positions of director, executive director, deputy director, assistant director, executive counsel, chief of staff or state court administrator.

#### Please indicate your response below.

☐ Yes

If your answer is "Yes," please identify the Major State Decision-maker, specify the nature of their ownership interest, and provide a copy of the annual financial disclosure required to be filed with the Rhode Island Ethics Commission pursuant to R.I.G.L. §§36-14-16, 17 and 18.

 $\square$  No

3. In the course of providing goods or services to RIHousing, the selected respondent may receive certain personal information specific to RIHousing customer(s) including, without limitation, customer names and addresses, telephone numbers, email addresses, dates of birth, loan numbers, account numbers, social security numbers, driver's license or identification card numbers, employment and income information, photographic likenesses, tax returns, or other personal or financial information (hereinafter collectively referred to as the "Personal Information"). The maintenance of the Personal Information in strict confidence and the confinement of its use to RIHousing are of vital importance to RIHousing.

#### Please certify below that in the event your firm is selected:

(i) any Personal Information disclosed to your firm by RIHousing or which your firm acquires as a result of it services hereunder will be regarded by your firm as confidential, and shall not be copied or disclosed to any third party, unless RIHousing has given its prior written consent thereto; and



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- (ii) your firm agrees to take all reasonable measures to (a) ensure the security and confidentiality of the Personal Information, (b) protect against any anticipated threats or hazards to the security or integrity of the Personal Information, and (c) maintain reasonable security procedures and practices appropriate to your firm's size, the nature of the Personal Information, and the purpose for which the Personal Information was collected in order to protect the Personal Information from unauthorized access, use, modification, destruction or disclosure; and
- (iii) when discarding the Personal Information, destroying it in a commercially reasonable manner such that no third party can view or recreate the information, electronically or otherwise.

These provisions, which implement the requirements of the Rhode Island Identity Theft Protection Act, R.I.G.L. § 11-49.2 et seq., will also be incorporated into the final contract with the selected respondent(s). In addition, if selected, your firm may be requested to provide a copy of its information security plan.

- ☐ I certify that in the event our firm is selected, we will comply with the Personal Information and Security guidelines noted above.
- 4. Your firm's president, chairman or CEO must certify below that (i) no member of your firm has made inquiries or contacts with respect to this RFP other than in an email or written Pirolli, **Facilities Operations** communication to Guy V. gpirolli@rihousing.com seeking clarification on the Scope of Work set forth in this proposal, from the date of this RFP through the date of your proposal, (ii) no member of your firm will make any such inquiry or contact until after January 2, 2025, (iii) all information in the proposal is true and correct to the best of your knowledge, (iv) no member of your firm gave anything of monetary value or promise of future employment to a RIHousing employee or Commissioner, or a relative of the same, based on any understanding that such person's action or judgment will be influenced, (v) your firm did not consult with RIHousing in connection with the development of this RFP, and (vi) your firm is in full compliance with Chapter 27 of Title 17 of the Rhode Island General Laws, Reporting of Political Contributions by State Vendors.

I certify that no member of our firm has made or will make any such inquiries or contacts; all information supplied is true and correct; no member of our firm has provided anything of value to influence RIHousing; and our firm is in compliance with applicable political contribution reporting.

President, Chairman or CEO (print): _	
Signature:	
Firm Name:	



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## Attachment B Scope of Work

#### **General Information**

The intent of this procurement is to award a three (3) year annual contract with two (2) one year renewal options (at RIHousing's discretion) to a firm highly skilled in cleaning of building window glass, both inside (includes interior partition glass) and outside of occupied buildings. This contract will be for maintenance cleaning only. The contractor engaged by RIHousing to perform this Scope of Work (the "Contractor") shall have the necessary equipment (either owned or rented) to accomplish interior and exterior window washing.

- Furnish all labor, supervision, materials, and equipment to satisfactorily perform the window cleaning as described in this Scope of Work during the times and at the frequency specified herein (the "Work"). Work is to be provided at our corporate offices in the Slade/Garr and Earle Buildings at 44 Washington and 56-70 Washington Street in Providence.
- Furnish all coordination, management, training, technical personnel, and special projects personnel as may be required during the term of the contract. These personnel shall be the direct agents and employees of the contractor, and may include, if required, a non-working resident supervisor who shall be the contractor's chief manager and agent in the performance of the services to be rendered hereunder.
- Prohibit its employees from smoking and drinking alcoholic beverages in the buildings. Either
  activity will result in the employees' immediate dismissal and termination from working in the
  buildings.
- Instruct its personnel to go about their work in a quiet, professional manner, respectful of the rights of RIHousing and its employees to an orderly workplace free from disturbances. Profanity and obscenity will not be permitted.
- Prohibit its employees from disturbing paper on desktops and other work surfaces, opening desk drawers and cabinets, or using telephones or other office equipment.
- Ensure that all on-site personnel directly involved in performing the Work shall comply with the following requirements:
  - Personnel shall be physically able to perform their assigned tasks and shall be free from any communicable disease.
  - Personnel shall be capable employees, thoroughly trained and qualified to perform the work assigned to them.
  - Personnel shall satisfy such security clearance as RIHousing may reasonably require.
  - Upon arrival at the work site, Contractor shall provide, and personnel shall complete sign-in sheets for the Manager of Facilities Operations.
  - Personnel shall report fires, hazardous conditions, and items in need of repair or replacement, including, but not limited to, lights, faucets, toilets, etc.
  - The contractor shall provide a shift supervisor to oversee the work being completed.
  - All work must be completed within 10 days from notification to start (weather permitting).



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## **General Cleaning Scope:**

- 1) Washing Specifications:
- a. Quality of Work: Windows shall be washed clean and free of streaks, smears and visible soap residue. Accumulated dirt, paint specs, or other foreign debris must be removed from windows. Frames shall be scrubbed to remove all dried dirt, insects, debris and other materials. Windowsills shall be washed clean and all drippings wiped dry.
- b. Contractor Supplies and Equipment: Contractor must furnish all equipment and supplies needed to carry out the window washing services specified at no extra cost to RIHousing. All equipment and supplies used must be capable of performing all operations in accordance with specifications.
- c. Equipment and Supplies Safety: Prior to start of work, all Contractor equipment shall be safety checked to conform to all applicable state, local and OSHA regulations. RIHousing reserves the right to request the removal from the work site any supplies and/or equipment it deems does not meet the aforementioned codes or regulations. In addition, it may request the halt of any unsafe practices observed in carrying out the contracted service. This will in no way relieve the Contractor of complying with the wash schedule.
- d. If requested, Material Safety Data Sheets: Prior to starting, Contractor must provide Material Safety Data Sheets (MSDS) for all products used on site.
- e. Safety for Overhead Work: Window washers shall close off area(s) and post signs indicating the area(s) are closed to pedestrian traffic when working over entrances, traveled walkways or any area where people might cross below workers. Signs should indicate that men are working above. All equipment, apparatus or rope coils on the ground level shall also be marked off with cones and signs warning pedestrian traffic. Contractor shall provide safety cone and signs.
- f. Inside Work: If any items need to be moved away from windows, the Contractor shall notify RIHousing's Facilities staff and RIHousing staff shall notify RIHousing employees to move items. Any items moved shall be moved back by Facilities personnel. All water and cleaning solution drippings shall be thoroughly removed and wiped dry before items are returned to their original location(s). Workers shall carry stepladders with them for washing inside of windows. Workers shall not, if possible, stand on furniture or windowsills. Workers will give occupants every opportunity to clear window areas prior to washing.
- g. Damage: Any damage to windows, building, occupant's furniture, equipment or occupant work papers shall be reported immediately to the RIHousing representative. Contractor shall notify university representative of any existing deterioration in windowsills or frames prior to working on them.



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## 2) Scheduling:

- a. Work Hours: Coordinate the washing schedule with RIHousing Facilities representative. Washing is to be performed Saturdays during daylight hours, normally between 7:00am and 5:00pm.
- b. Schedule Wash: Cleaning must be scheduled with RIHousing representative at least two weeks prior to scheduled wash date. Confirmation of the scheduled wash date must be sent via emails for Facilities approval.
- c. Reschedule Wash: Other than for weather related reasons, a scheduled wash can be rescheduled only once. Rescheduled work must begin no later than one week after the original scheduled washing date. The contractor shall notify RIHousing representative in writing at least 48 hours before the originally agreed upon date to make any changes to washing dates to allow RIHousing representative time to notify building occupants.
- d. Consecutive Wash Days: Work shall be done on consecutive days, unless preapproved by RIHousing representative. Inside work may be performed on days with bad weather, as long as it is within the agreed schedule.
- e. Total Work Time: Work for each building must be finished, to the satisfaction of RIHousing representative, no later than 10 working days after the start date. This two-week period may be extended at the sole discretion of RIHousing representative.
- f. Site Visit: Prior to any scheduled cleaning Contractor must visit the site with RIHousing representative to inspect existing the rooftop spaces. Any damage to the inspected items after the site inspection or during cleanings will be the responsibility of the Contractor.

## 3) Personnel Performance and Supervision:

- a. Personnel: Contractor shall have in their employ, or under their control, sufficient qualified and competent personnel to perform work promptly and in accordance with Contract schedules and requirements.
- b. Supervision: Contractor shall provide all supervision on site to coordinate and inspect work.
- c. Daily Check In/Out: The on-site supervisor of Contractor workers shall check-in with RIHousing representative upon arrival at the site. On-site supervisor will contact RIHousing representative or designee for their inspection after completing the daily work schedule.
- d. Performance: Contractor's personnel must be capable of performing at an effective level in accordance with specifications and industry standards. All work shall be performed in a workmanlike manner and in compliance with all federal, state and local laws and codes. RIHousing requires quality washing done in a competent manner.



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All workers shall be required to wash windows clean with a minimum of prompting or direction from RIHousing staff.

- e. Substandard Work: Contractor will correct or cause to have corrected any substandard work as requested by RIHousing.
- f. Prohibited Actions: RIHousing environs are smoke-free inside. Smoking areas outside of the building are limited to twenty five (25) feet from building. Contractor and their employees are expected to adhere to the designated smoking areas outside while engaged in contractual work. Contractor will be required to remove any worker who violates the non-smoking rules or does not adhere to the designated smoking areas outside or any employee who reports to the job manifesting evidence of alcoholic beverages or illegal drug use.
- g. Reassignments: The Contractor shall utilize on this contract, only workers that are skilled in the tasks to which they are assigned. RIHousing reserves the right to require the reassignment of an employee or employees as RIHousing may deem necessary. Reasons for this request may be, but are not limited to poor work performance, incompetence, carelessness, disruptive or otherwise objectionable behavior. The request for reassignment is in no way a call for dismissal. It is just a request for the individual to be reassigned away from RIHousing facilities.
- h. Occupant / Pedestrian Safety: Work shall be done in such a manner that creates a safe working and walking situation for occupants of the building as well as pedestrians on sidewalks adjacent to the buildings. Work shall be done in a manner which limits disruption to building occupants and that does not compromise the security of the work of occupant. In addition, Contractor is responsible for obtaining any permits required for parking spaces surrounding the buildings and/or sidewalk permits either for closure or equipment storage/use.

### B. General Cleaning Guidelines:

Work shall include washing, scraping, and pumicing, (if required, outside only) of all inside and outside glass as necessary to thoroughly clean the surfaces. Pumicing is defined as use of a pumice stone. Scraping is defined as removal of taping and other marks on windows. All exterior building glass is included unless it is specifically excluded. Glass in building lobby's is included, both sides. Once any glass surface is cleaned, streaks, smudges or smears will not be visible. When both inside and outside of windows are being cleaned, clean the inside first. If there are window tracks or other cavities which may have collected dirt or particles – clean these areas first. Wipe/clean the interior frame prior to cleaning the track. Glass transoms, glass work in doors, fixed side doors lights, picture type windows and storm windows are to be cleaned on both the exterior and the interior. Windows with screens or grill work shall be cleaned. Cleaning method shall be by whatever means the contractor chooses; e.g., boatswain's chair, ladder, etc. Ordinary window screens shall be removed by the contractor and replaced after window has been cleaned.

Exterior cleaning procedures should also ensure that the wind is not blowing the cleaning solution and residue onto already cleaned glass. Cleaning method should begin with soaking the glass surfaces with clean water and soap solution to loosen dirt or debris. Using a mild,



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nonabrasive commercial window washing solution, uniformly apply the solution to the glass surfaces with a brush, strip washer or other non-abrasive applicator. Immediately following the application of the cleaning solution, a squeegee should be used to remove all of the cleaning solution from the glass surface. Care should be taken to ensure that no metal parts of the cleaning equipment touch the glass surface and that no abrasive particles are trapped between the glass and the cleaning materials. All water and cleaning solution residue should be dried from window gaskets, sealants and frames to avoid the potential for deterioration of these materials as the result of the cleaning process. When uses of razor blades or scrappers are necessary, a new 1" razor blade may need to be used. Use of blades larger than 1" is not allowed. If the razor blade or scrapper is used, it should only be used on small spots. Any scraping done should be in one direction only, never scrape in a back and forth motion.

#### C. Exteriors:

Start cleaning at the top of the building and continue to lower levels. Soak the glass surface with a clean water and soap solution to loosen dirt and debris Use a mild, non-abrasive commercial window cleaning solution. Use a squeegee to remove all of the cleaning solution Dry all cleaning solution from window gaskets, sealants and frames. Be aware of and follow trade associations cleaning recommendations. Watch for and prevent conditions that can damage the glass. Do not use scrapers larger than 1" for cleaning glass. Do not allow dirt and residue to remain on glass for an extended period. Care shall be taken to avoid damage to tinted or coated glass in direct sunlight. Do not allow water or cleaning residue to remain on the glass or adjacent materials. Do not begin cleaning without rinsing excessive dirt and debris. Do not use abrasive cleaning solutions or materials. Do not allow metal parts of cleaning equipment to contact the glass. Do not trap abrasive particles between the cleaning materials and the glass surface. Do not allow splashed materials to dry on the glass surface.

### E. Staging Plan:

- 1) Any existing anchor points on any RIHousing building may be used providing the awarded firm validates its condition. RIHousing will not incur additional fees related to testing and certification of existing rooftop anchors nor will RIHousing be held liable for their condition.
- 2) Firms shall thoroughly investigate rooftop spaces with the intention of determining method and/or process of staging each location. The selected staging process must comply with governing regulatory body, local codes, current edition (OSHA/MOSA/ I-14.1) and such, not damage RIHousing/state property with the selected rigging method at any given location. Employees must be properly trained and certified as required by these agencies.
- 3) Method or process of rooftop staging will not be defined by RIHousing.

#### F. Permits:

Contractor is responsible for acquiring any and all permits that may be required for
equipment used in this project and for any and all permits required to reserve parking
spaces on abutting streets to access upper floor windows of the Buildings.



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## Design and Construction (Revised 1/22/19) ATTACHMENT C

- SUPPLEMENTARY GENERAL CONDITIONS
- AFFIRMATIVE ACTION REQUIREMENTS



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Design and Construction

## A. RIHousing Supplementary General Conditions

The following are to be incorporated into the Architect's specifications:

A. Changes in the Drawings and Specifications or any terms of the Contract Documents, or orders for extra work, or changes in altering, add to or deleting from the work which will result in any construction cost change, or will change design, may be affected only with the prior written approval of the Owner. RIHousing will allow the following combined overhead and profit for additional work resulting from approved change orders:

To the General Contractor, for work performed by its own forces	12%
To any Subcontractor, for work performed by its own forces	12%
To any General Contractor, for work performed by its Subcontractor	8%

Note: All Change orders must be submitted with itemized labor, materials, equiFacilities ent and contract mark-ups for proper analysis.

- B. The date of substantial completion shall be the date RIHousing endorses the Architect Certificate of Substantial Completion (AIA Form G-704 2017 Edition). The date of substantial completion is also the date of commencement of applicable warranties required by the Contract Documents.
- C. The Contractor shall attach to each request for payment its acknowledgment of payment and all subcontractor's and materialmen's acknowledgments of payment for work done and materials, equiFacilities ent and fixtures furnished through the date covered by the previous payment. Concurrent with the final payment, the contractor shall execute a waiver or release of lien for all work performed and materials furnished thereunder, and the Contractor shall obtain similar waivers or releases from all subcontractors and materialmen.
- D. The Contractor shall furnish and pay the premium for Performance and Payment Bonds equal to 100% of the contract price.
- E. RIHousing and its agents or assigns, at all times during construction, have the right of entry and free access to the construction area and the right to inspect all work done and materials, equiFacilities ent and fixtures furnished, installed or stored in and about the project.
- F. RIHousing reserves the right to request one (1) copy of all approved shop drawings, catalog cuts, samples, etc.
- G. RIHousing will be furnished one (1) copy of all change orders.
- H. The Architect will perform field inspection services of a nature and frequency determined by construction progress and sound professional judgment. Field inspections will



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encompass all disciplines; e.g. architectural, mechanical, electrical, site, etc.

- I. Field and laboratory testing service requirements to be paid for by the Contractor shall be incorporated into the technical specifications. These shall include, but not necessarily be limited to, soil compaction and analysis, concrete design, mix, concrete compression, pile load test. RIHousing shall be provided with copies of all test reports as these reports are made available.
- J. AIA Forms G702 and G703 (latest editions) will be utilized for Contractor requisitions.
- K. Notwithstanding any agreements to the contrary, the Owner will advance for construction monthly from contract proceeds 95% of the value of work in place and material stored on the develoFacilities ent site. With the concurrence of the Architect, the Owner will consider a reduction of the retainage percentage from 5% to 2.5% upon substantial completion of the work included in the contract.
- L. Notwithstanding any agreement to the contrary, between the Owner will advance requisitions during the construction administration/ supervision phase in increments equivalent to the Contractor's progress billings. In no event will the Supervising Architect be paid in full prior to develoFacilities ent completion.
- M. Non-Resident (Out of State) Contractor Tax Compliance Notwithstanding anything herein to the contrary provided, in the event that any contractor or subcontractor is a "non-resident contractor" as that term is used in Section 44-1-6 of the General Laws of Rhode Island, as amended, Owner shall withhold 3% of the cumulative value of these contracts until such time as the Borrower has complied with the provisions of this Section. All non-resident (out of state) contractors or subcontractors shall be required to furnish a "No Tax Due" affidavit issued by the R.I. Division of Taxation as evidence of compliance with this law.



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Design and Construction

# B. Equal Opportunity and Affirmative Action Rules and Regulations

Section 1. Purposes of Procedures: RIHousing has determined that a need exists to ensure that recipients of its funds do not discriminate in their hiring or contract practices on the basis of race, sex, national origin, age, religion, sexual orientation, handicap or status as a veteran. RIHousing has also determined that it is necessary to take affirmative action to eliminate the vestiges of past societal discrimination and to ensure equal employment opportunities and social advancements for minorities and women. These Rules and Regulations set forth requirements established by RIHousing to ensure non-discrimination and affirmative action by Developers, Contractors, and Subcontractors, and shall be construed and applied so as to accomplish the objective stated above.

### Section 2. Construction of Rules and Regulations and Definitions:

Unless otherwise defined herein or unless a different meaning is required from the context in which they are used herein, all words and terms used in these Rules and Regulations are as defined in the Act.

- 1. "Act" means the Housing and Mortgage Finance Corporation Act more particularly set forth in R.I.G.L. 42-55 as amended.
- 2. "Affirmative Action Officer" (AAO) means the individual(s) appointed by the Executive Director to serve as AAO under these Rules and Regulations.
- **3.** "Board of Commissioners" means the Board of Commissioners of the Rhode Island Housing and Mortgage Finance Corporation.
- 4. "Contractor" means an individual, corporation or partnership retained by a Developer to serve as a general contractor or construction manager in the construction of a develoFacilities ent.
- 5. "Corporate Office" means 44 Washington Street, Providence, Rhode Island 02903.
- 6. "DeveloFacilities ent" means a multi-family residential housing complex developed under the Program.
- 7. "Executive Director" means the Executive Director of RIHousing.
- 8. "Hearing Officer" means the individual or individuals appointed by the Executive Director to serve as a Hearing Officer under these Rules and Regulations.
- 9. "Minorities" or "Minority" means person or persons who is included in any of the following racial and ethnic categories:
  - **a.** African American/Black A person having origins in any of the black racial groups of Africa.
  - b. American Indian or Alaskan Native A person having origins in any of the original peoples of North America and who maintains cultural identification through tribal affiliation or community recognition.
  - c. Asian or Pacific Islander A person having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands.



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- d. Hispanic/Latino A person of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race.
- 10. "Minority and Female Owned" means businesses in which at least fifty-one percent (51%) of the ownership interest is held by, and which are managed and controlled by minorities and/or women, and which have obtained written certification thereof from the state in which their principal office is located or from such other governmental or non-governmental office, board, commission, department, agency or entity satisfactory to RIHousing.
- 11. "Mortgage Loan" means a loan made to a Developer for the purpose of providing construction or permanent financing, or both, for a DeveloFacilities ent.
- 12. "Program" means the RIHousing Rental Housing Production Program.
- 13. "Proposal" means a written proposal for the construction, develoFacilities ent and/or rehabilitation of a develoFacilities ent.
- 14. RIHousing means RIHousing and Mortgage Finance Corporation, a public corporation organized and existing under the Act.
- 15. "Developer" means corporations, individuals, joint ventures, partnerships, limited partnerships, trusts, firms, associations, or other legal entities or any combination thereof, whether organized for profit or not, qualified either to own, construct, acquire, develop or rehabilitate a DeveloFacilities ent.
- 16. "Subcontractor" means individuals, corporations, joint ventures, partnerships, limited partnerships, associations, or other legal entities retained by a Developer or Contractor to render services, or services and material in connection with the construction or rehabilitation of a DeveloFacilities ent.
- 17. "Suspension" means disqualification of a Developer from participation in RIHousing's programs and suspension of Developer's rights to disbursements from Mortgage Loan.
- 18. "Total Construction Cost" means the total funds expended in connection with the construction or rehabilitation of the DeveloFacilities ent.
- 19. "Total Work Force Hours" means the total number of worker-hours expended in connection with construction or rehabilitation of the DeveloFacilities ent.

### Section 3. Affirmative Action Obligations:

3.1 Generally – Through affirmative action activities provided for herein, the developer and or contractor shall use all reasonable efforts to ensure that ten percent (10 %) of the Total Work Force Hours are provided by Minorities and women. The Developer and or Contractor shall utilize Minorities and females, and Minority and Female Owned businesses, as Contractors and Subcontractors to the greatest extent practicable in the construction of the DeveloFacilities ent. The Developer and or Contractor shall include a statement regarding its non-discriminatory and affirmative action policies in all solicitations for Contractors and Subcontractors issued in connection with the DeveloFacilities ent. The Developer and or Contractor shall maintain records relating to all such solicitations, all responses received to solicitations (specifically identifying



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responses received from Minority and Female Owned businesses), and all awards made based on such solicitations and the reasons therefore. The Developer and or Contractor shall use its best efforts to ensure that at least ten percent (10%) of the Total Construction Costs shall be paid to Minority and/or females Contractors and Subcontractors, or Minority and/or Female Owned Contractors and Subcontractors. The Developer and or Contractor shall provide RIHousing with a schedule of anticipated awards to be made to Minority and Female Owned Contractors and Subcontractors prior to the initial closing of the Mortgage Loan.

- 3.2 Contractual <u>Obligations</u> All contracts with a contract price of \$50,000.00 or more executed in connection with the Rental Housing Production Program develoFacilities ent shall:
- 1. post a written notice of at least 8 inches by 11 inches in size in a conspicuous place in its principal office stating that it is an equal opportunity employer and does not discriminate on the basis of race, sex, national origin, age, religion, sexual orientation, handicap or status as a veteran:
- 2 abstain from harassment, intimidation and coercion of employees based on race, sex, national origin, age, religion, sexual orientation, handicap or status as a veteran;
- 3. establish and maintain a current list of recruitment sources for Minority and female employees, provide written notification to such sources of employment opportunities, and maintain a record of each organization's response to each such notification;
- 4. maintain a record of the name, address and telephone number of each Minority and female applicant for employment, which record shall contain a statement regarding the action taken with respect to the application;
- 5. to the extent feasible, participate in area based training programs for Minorities and women;
- 6. provide notice of its obligations under these Rules and Regulations to any union(s) with which it has entered a collective bargaining agreement, and to entities regularly conducting training programs within the area of its principal place of business, and request the assistance of such unions and entities in its compliance with these Rules and Regulations;
- 7. include a statement of its non-discriminatory practices in any company manuals, collective bargaining agreements it enters, and company newspapers or newsletters it produces;
- 8. notify the Developer in writing when signatory unions and bargaining agreements it has entered prohibit or deter its equal employment and affirmative action recruiting activities;
- 9. ensure that all facilities and activities under its control are non-segregated, except for the segregation by sex of toilet and changing facilities;
- 10. where feasible, employ Minority and female workers in summer employment and onthe-job training programs;
- 11. take such other steps as necessary to ensure that equal employment policies are implemented and that recruitment job classifications and other employment procedures do not have a direct, or indirect discriminatory effect on Minority or female employees or applicants.



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Section 4. Preliminary Information: Once funds have been reserved for a Rental Housing Production Program develoFacilities ent, the AAO shall provide the Developer with a summary of the equal opportunity and affirmative action requirements contained in these Rules and Regulations, and a list of Minority and female contractors. Said list was compiled by the State of Rhode Island and amended by RIHousing.

Section 5. Pre-Construction Conference: At the Pre-Construction Meeting, RIHousing shall discuss the requirements of these Rules and Regulations and the Developer's affirmative action goals for the Rental Housing Production Program develoFacilities ent with the Developer and all contractors chosen as of that date.

Section 6. Utilization Reports: The Developer shall submit to RIHousing monthly, a report of the total construction cost as of the date of said report, and shall specify therein, the total amount paid to Minority and female contractors and subcontractors or Minority and Female Owned contractors and subcontractors.

Section 7. Review Conference and On-Site Inspections: RIHousing may, anytime during construction or rehabilitation of a develoFacilities ent, conduct such on-site inspection(s), reviews of the Developer's records and all contracts relating to the develoFacilities ent, and conferences with Developer, contractors and subcontractors as it deems necessary to ensure compliance with these Rules and Regulations.

Section 8. Report of Discrimination Charges Filed: The Developer shall promptly notify RIHousing, in writing, of any charges it becomes aware of which have been filed with the Rhode Island Commission of Human Rights, the United States Equal Employment Opportunity Commission or any other agency alleging discrimination by the Developer, or any Contractor or Subcontractor in connection with the develoFacilities ent.

Section 9. Suspension of Developers and/or Contractors:

- 9.1 Generally Suspension is a measure which may be invoked by RIHousing either to exclude or to disqualify a Developer and/or a Contractor from participation in any of the RIHousing's programs. Suspension shall be used for the purpose of ensuring compliance with these Rules and Regulations and not for punitive purposes. The exclusion or disqualification of a Developer and/or a Contractor from participation in RIHousing's programs, and the reconsideration of such measures, shall be based upon all available evidence and facts. Investigations required to accumulate such facts and evidence shall be conducted by the AAO or by such other individuals as may be designated by the Executive Director from time to time.
- 9.2 <u>Notice of Violation</u> In the event that it is determined that a Developer and/or a Contractor is in violation of these Rules and Regulations, the AAO shall notify the Developer and/or a Contractor of such violation(s) in writing by certified or registered mail. Said notice shall: specify the violation(s); request the developer and/or contractor to correct the violation(s) within fifteen (15) business days from receipt of said notice; and, inform the Developer and/or Contractor of possible suspension for failure to rectify the violation(s) within said period. The Developer and/or Contractor shall, within the allotted time, rectify the violation(s) and provide evidence of compliance satisfactory and acceptable to the AAO.



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In the event the Developer and/or Contractor fails to rectify the violations and produce Design and Construction

sufficient evidence of compliance, the AAO may recommend to the Executive Director that the Developer and/or Contractor be suspended.

- 9.3 Suspensions The Executive Director shall review all findings and recommendations of the AAO and may suspend any developer and/or contractor who fails to comply with these Rules and Regulations. Said suspension shall be in full force and effect until the Developer and/or Contractor can demonstrate, to the satisfaction of the Executive Director, that the violation(s) has been corrected and that steps have been taken to insure future compliance with these Rules and Regulations.
- 1. <u>Effects of Suspension</u> The Developer and/or Contractor may not obtain any advances or payments due under any existing loan agreement with RIHousing nor participate in any RIHousing programs. The Executive Director may waive these limitations if it is determined that the limitations will adversely affect the community to be served, the develoFacilities ent/proposal has some unique value or that the limitations are not in the best interest of the public.
- 2. Notice of Suspension The Developer and/or Contractor shall be served by certified mail, return receipt requested, with a written notice of suspension within five (5) days prior to the effective date of the suspension. The notice shall state: the basis for the suspension; that the suspension is effective until compliance has been documented; that the Developer and/or Contractor may not participate in any program of RIHousing during the term of the suspension; that all rights of the Developer and/or Contractor under all loan agreements with RIHousing shall be suspended until reinstatement of the Developer and/or Contractor; and, that the Developer and/or Contractor may request a hearing and be represented by counsel at the hearing.

## Section 10. Hearings:

- 10.1 <u>Requests for Hearings</u> The Developer and/or Contractor may request a hearing on the suspension and may be represented by counsel at the hearing. The hearing request shall be made in writing, addressed to RIHousing at the Corporate Office and to the attention of AAO. The Developer and/or Contractor shall have ten (10) days from receipt of notification of suspension to request a hearing. If no request is received by RIHousing within the time period, the Developer and/or Contractor shall be deemed to have waived its right to be heard, and final action on the proposed suspension may be taken.
- 10.2 <u>Notice and Procedures</u> Upon receipt of a request for a hearing, the AAO shall arrange a prompt and timely hearing. Notice of the time and place of such hearing shall be in writing, and delivered to all interested parties by certified mail, return receipt requested, together with a statement indicating the nature of the proceedings. All hearings shall be conducted in accordance with Section 42-35 of the General Laws of the State of Rhode Island of 1956, as amended, by a Hearing Officer. All witnesses shall testify under oath or affirmation and shall be subject to cross-examination.



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10.3 Determination by Hearing Officer in Suspension Hearings – The Hearing Officer shall make a written determination and recommendation to the Executive Director based on all evidence presented at the hearing. All interested parties shall be notified of said determination by certified mail, return receipt requested. The Executive Director shall review the findings of the Hearing Officer and issue a final determination on suspension of the Developer and/or Contractor within thirty (30) days from the date of the hearing. Notice of the Executive Director's determination shall be given to all interested parties in writing, signed by the Executive Director and transmitted by registered mail, return receipt requested.

10.4 Powers of Hearing Officer – While conducting hearings, the Hearing Officer shall have all powers necessary to conduct hearings in an expeditious and fair manner. The powers of the Hearing Officer shall include, but not be limited to, the power to:

- 1. Hold conferences to settle, simplify, or fix the issues in a proceeding, or to consider other matters that may aid in the expeditious disposition of the proceeding by consent of the parties or upon his own motion;
- 2. Require parties to state their position with respect to the various issues in the proceeding;
- 3. Require parties to produce for examination those relevant witnesses and documents under their control:
- 4. Rule on motions and other procedural items on matters pending before him/her;
- 5. Regulate the course of the hearing and conduct of the participants therein;
- 6. Receive, rule on, exclude, or limit evidence, and limit lines of questioning of testimony which are irrelevant, immaterial or unduly repetitious;
- 7. Fix time limits for submission of written documents in matters before him/her;
- 8. Impose appropriate sanctions against any party or person failing or refusing to follow or to obey an order under these procedures which sanctions may include;
  - a. Refusing to allow the party to support or oppose designated claims or defenses, or prohibiting him/her from introducing designated matters in evidence,
  - b. Excluding all testimony of an unresponsive or evasive witness,
  - c. Expelling any party or person from further participation in the hearing.
- 9. Take official notice of any material fact not appearing in evidence in the record, which is among the traditional matters of judicial notice.

Section 11. Rescission and Reinstatement: The Developer and/or Contractor against which a suspension has been invoked may request reinstatement in writing. Reinstatement proceedings shall be conducted by the AAO. All recommendations of the AAO for reinstatement shall be submitted to the Executive Director for final approval. Reinstatements may only be granted upon the determination that the matter or matters resulting in suspension have been rectified, and that the suspended party shall comply with all requirements of these Rules and Regulations



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in the future. When a suspension has been rescinded, the Executive Director shall forward notice of reinstatement to the Developer and/or Contractor by certified mail, return receipt requested.

Section 12. Substitute Policies or Procedures: A Developer and/or Contractor subject to other equal employment and affirmative action laws, regulations, procedures or policies ("Substitute Regulations") of any federal or state agency, board or commission may request that RIHousing accept compliance with such Substitute Regulations in lieu of compliance with these Rules and Regulations if such Substitute Regulations are substantially similar to the requirements of these Rules and Regulations. All such requests shall be made in writing to the Executive Director of RIHousing, and shall set forth the requirements of such Substitute Regulations. All decisions of the Executive Director regarding such Substitute Regulations shall be final and binding on t

#### **Attachment D**

## Window Cleaning Pricing Proposal

BID FORM - P	ige 1
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To: RIHousing	
Respondent Firm Name:	

This project must be bid utilizing prevailing wage. The State of Rhode Island Department of Labor, Division of Professional Regulations General Decision Modification document current as of the bid posting date for this project, is an integral part of the bid documents for use in fulfilling prevailing wage rate requirements. A copy is available on the web site of the State of Rhode Island Department of Administration, Division of Purchases.

Go To: Division of Purchases Web Site Address: <a href="http://www.dlt.ri.gov/pw/">http://www.dlt.ri.gov/pw/</a>

It will bring you to: Prevailing Wage | RI Department of Labor & Training

Open: Wage Rate Schedule for Construction;

Go to following: Davis-Bacon Act WD #: Click on: RI20240001

State

Rhode Island Counties

Bristol, Kent, Newport, Providence, Washington

**DBA Wage Determination** 

Modification Number

16

Construction Types

**Building, Heavy, Highway** 

Published Date Nov 8. 2024

Under "Document", go to heading entitled: Building Construction, LABO0271-001; Laborer, Group 1 for current prevailing wage as of the date of your proposal.

The Contractor must submit Certified Payroll Reports with their payment requisition

### CERTIFICATIONS AND BASE BID

The undersigned, having become thoroughly familiar with: (i) the Scope of Work (the "Work") and Specifications and all subsequent Addenda; and (ii) with local conditions affecting the performance and costs of the Work at the place where the Work is to be completed, and having fully inspected

## Bid Form Pg. 2

the site in all particulars, hereby proposes to perform the Work, including furnishing any and all labor and materials, and to do all of the Work in accordance with the terms of this solicitation and any contract entered into by the undersigned and RIHousing for the following sum of money:

Base bid shall include: All labor, materials, services and equipment necessary for work delineated in Scope of Work.

## Pricing, Labor Rates and Staff

Contractor offers the following prices below to perform the work and oversight directives described in Exhibit A - Scope of Work, for Year 1 of the Agreement. **Note:** Pricing below will be only for the 1<sup>st</sup> year of the 3-year term; it is agreed that pricing for years 2 and 3 and any renewal term will be the prevailing wage in effect at the time on each anniversary of the date of the agreement.

## Option 1:

1) 7	1) Total Cost of Two (2) Full Exterior Window Cleanings Per Year:				
	a. Year 1 -	\$	per cleaning		
2)	2) Total Cost of One (1) Full Interior Window Cleaning Per Year:				
	a. Year 1 -	\$	per cleaning		
3)	3) Option 1: Total Cost of Exterior Street Level and Partial 2 <sup>nd</sup> Floor Window Cleaning Per Year (on call):				
	a. Year 1 - \$per cleaning				
	Labor Rates (Contactor is required to pay prevailing wages to all employees as per the State of RI, Department of Labor and Training)				
4)	Year 1 Only: i. ii. iii.	Cleaner Other Supervisor	\$per hour – normal business hours \$per hour – normal business hours \$per hour - normal business hours		
	iv. V. Vi.	Cleaner Other Supervisor	\$per hour – after hours \$per hour – after hours \$per hour – after hours		

## Bid Form Pg. 3

### SUBCONTRACTORS AND SUPPLIERS

The Contractor will utilize the following companies for the indicated Work. Please list <u>all proposed</u> subcontractors/supplies with contract amounts in excess of \$10,000 in the table below. Include the subcontract/supplier name, contract amount, and type of work to be performed or supply to be furnished. The list will be made part of the contract with RIHousing. <u>Additional pages may be attached as necessary.</u>

Subcontractor/Supplier Name	Work Performed/Supply Furnished	Contract Amount

- 1. RIHousing reserves the right to disqualify individual subcontractors. RIHousing understands that this may result in an adjustment to the Bid amount.
- 2. Please attach a letter from the bonding company assuring issuance of both 100% Performance & Labor and Material Payment bonds to this Bid Form.
- 3. RIHousing is tax exempt and will provide a tax-exempt file number to the successful respondent

# Bid Form Pg. 4

## ACKNOWLEDGEMENT OF ADDENDA

The undersigned Bidder acknowledges receipt of and use of the following Addenda in the preparation of this Bid:

Addendum No. 1, dated \_\_\_\_\_\_.

	Addendum No. 2, dated	
	Addendum No. 3, dated	
	Addendum No. 4, dated	
signature of the officer bidder is a partnership	rporation, set forth the legal name if the corporation together and officers authorized to sign contracts on behalf of the , set forth the name of the firm together with the signature sign contracts on behalf of the partnership.	corporation. If
Business Name:		-
Business Address:		-
Telephone Number:		-
Date of Proposal:		-
Signature(s):		-