**FINANCIAL AND PERFORMANCE DISCLOSURES**

1. Has the Applicant, or any of its principals, ever had a financial interest in real estate that:
2. Was foreclosed upon, or subject to a deed in lieu of foreclosure?  Yes  No
3. Was assigned to the lender (or nominee) or to FHA?  Yes  No
4. Was the subject of forbearance or other deferment arrangement with

RIHousing, FHA or any other mortgagee?  Yes  No

(If the answer to any of the above is “yes”, please provide an explanation)

1. During the past five years has the Applicant, any of its principals, or affiliated

organizations been in default and/or delinquent on any commercial loan(s) from

any financial institutions?  Yes  No

(If the answer is “yes”, please provide an explanation, including the name of the

property and the name of the financial institution)

1. Within the past ten years has any employee of, or other person associated with the

Applicant, or the proposed Management Agent, been debarred or subject to a

limited denial of participation by HUD, or any other federal or state agency?  Yes  No

(If “yes” explain fully)

1. Within the past ten years has any employee of, or other person associated with the

Applicant, or the proposed Management Agent, filed for bankruptcy or been put

into receivership?  Yes  No

(If “yes” explain fully)

1. Within the past ten years has any employee of, or other person associated with the

Applicant, or the proposed Management Agent, had an adverse fair housing or

other civil rights decision against them, or settled a fair housing claim, in any federal

or state administrative or judicial forum?  Yes  No

(If “yes” explain fully)

1. Within the past ten years has any employee of, or other person associated with the

Applicant, or the proposed Management Agent, been involved in any tax credit

transaction that (i) failed to comply with the conditions of the reservation and/or

allocation credits, and (ii) had uncorrected noncompliance with program

requirements for more than three months after notice from the appropriate

compliance agency?  Yes  No

(If “yes” explain fully)

1. Within the past five years has any member of the development team received a

“going concern” opinion in any financial audit or review?  Yes  No

(If “yes” explain fully)